nereby certify that this correspondence is being deposited with the
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Alexandria, VA 22313-1450 on March 15, 2004
Date of Deposit

Joseph F. Hetz - Reg. No. 41,070
Name of Applicant, Assignee or
Registered Representative

Patent Our Case No. 10519-30

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Applica	ation of:)	
	Subramanian et al.)	
) Examiner:	D. Vu
Serial No.:	09/939,498)	
) Group Art 1	Unit: 2818
Filed:	August 24, 2001)	
)	
For:	Vertically Stacked Field)	
	Programmable Nonvolatile)	
	Memory and Method of)	
	Fabrication)	
		,	

FIFTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to the obligation under 37 C.F.R. § 1.56 and in conformance with 37 C.F.R. §§ 1.97-1.99, Applicants hereby submit document A1 listed on the attached form PTO-1449 for consideration by the Examiner. A copy of this reference is enclosed herewith. Applicants request that the Examiner review the entire disclosure of this document and make it of record.

The filing of this Information Disclosure Statement does not constitute an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b). Further, Applicants reserve the right to contest that this document is prior art against the present application.

Dated: March 15, 2004

Respectfully submitted,

Joseph F. Hetz Reg. No. 41,070

Attorney for Applicants

BRINKS HOFER GILSON & LIONE P.O. Box 10395 Chicago, Illinois 60610 (312) 321-4719

2818

21	TRANSMITTAL LETT	Case No. 10519-30				
Setal No.	Filing Date	Examiner	Group Art Unit			
Sr. II No. (1939,498 Inventor(s)	August 24, 2001	D. Vu	2818			
inventor(s)						
Subramanian et al.						
Title of Invention						
Vertically Stacked Field F	rogrammable Nonvolatile Memor	y and Method of Fabrication				

TO THE COMMISSIONER FOR PATENTS

<u>1449; 1</u>	Transmitted here Reference; and Po		nsmittal Letter (in d eipt.	uplicate); Fifth Sup	plemental Infor	mation Discl	osure	Statement; F	orm PTO
	Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.								
	A verified statem	nent to estat	olish small entity sta	atus under 37 CFR	§§ 1.9 and 1.27	7 is enclosed			
	Petition for a _me	onth extensi	on of time.						
\boxtimes	No additional fee	e is required	i.						
	The fee has bee	n calculated	as shown below:						
				·	Small	Entity		Other Small	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$9=			x \$18=	
Indep.		Minus			x 43=			x \$86=	
First Pre	sentation of Multip	ole Dep. Cla	im		+\$145=			+ \$290=	
					Total add'i fee	\$		Total add'l fee	\$
	Please charge E copy of this shee	•	ount No. 23-1925 (E	BRINKS HOFER G	ILSON & LION	E) in the amo	ount (of \$ A	duplicate
	A check in the amount of \$ to cover the filing fee is enclosed.								
The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.									
I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.									
				Respectfully su	bmitted,				
						1	_		
				Joseph F. Hetz				<u> </u>	_
	Registration No. 41,070								
Attorney for Applicant BRINKS HOFER GILSON & LIONE P.O. BOX 10395 CHICAGO, ILLINOIS 60610 (312) 321-4200									
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Date: 3/18/09 Signature:									
rev. Dec00									

TRANSMITTAL LETTER

Case No. 10519-30

Sidal No. Filing Date Examiner Group Art Unit 2818

Mentor(s)

Subramanian et al.

Title of Invention

Vertically Stacked Field Programmable Nonvolatile Memory and Method of Fabrication

TO THE COMMISSIONER FOR PATENTS

			TO THE CC	MINIOSIONEK F	OK PATENTS				
Transmitted herewith is <u>Transmittal Letter (in duplicate)</u> ; Fifth Supplemental Information Disclosure Statement; Form PTO 1449; 1 Reference; and Postcard Receipt.									
	Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.								
	A verified staten	A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.							
	Petition for a _m	onth extens	ion of time.						
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	The fee has bee	en calculated	d as shown below:						•
					Can all	F-44		Other	
	T	T			Small	Entity	٦ .	Small	Entity
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'I Fee	or	Rate	Add'I Fee
Total		Minus			x \$9=			x \$18=	
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First Pre	sentation of Multip	ole Dep. Cla	im		+\$145=]	+ \$290=	
					Total add'l fee	\$		Total add'l fee	\$
	Please charge D copy of this shee		ount No. 23-1925 (E	BRINKS HOFER	GILSON & LIONI	E) in the am	ount c	of \$ A o	duplicate
	A check in the amount of \$ to cover the filing fee is enclosed.								
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i de				Joseph F. He Registration N	•				
BRINKS HOFER GILSON & LIONE P.O. BOX 10395 CHICAGO, ILLINOIS 60610 (312) 321-4200									
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FORM PJ 1449	SERIAL NO.	CASE NO.
PADEMANT	09/939,498	10519-30
. LIST OF PATENTS AND PUBLICATIONS FOR	FILING DATE	GROUP ART UNIT
APPLICANT'S INFORMATION DISCLOSURE STATEMENT	August 24, 2001	2818
(use several sheets if necessary)	APPLICANT(S): Subramanian e	t al.

EXAMINER		DOCUMENT			CLASS/	FILING
INITIAL		NUMBER Number-Kind Code (if known)	DATE	NAME	SUBCLASS	DATE
	A1	5,578,836	11/1996	Husher et al.		
-	A2					
	A3					
	A4					
	A5					
	A6					
	A7					
•	A8					
	A9					
	A10					
•	A11					
	A12					
	A13					

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	A14					
	A15					
	A16					
	A17					
	A18			-		· <u>-</u>

EXAMINER INITIAL		OTHER ART – NON PATENT LITERATURE DOCUMENTS aclude name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, aposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.
	A19	
	A20	
	A21	
	A22	
	A23	
	A24	

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.